

FORM PT-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 12-2004)

ATTORNEY'S DOCKET NUMBER

932.1284

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/522070

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/ES2003/000379INTERNATIONAL FILING DATE
July 23, 2003PRIORITY DATE CLAIMED
July 25, 2002

TITLE OF INVENTION

NEW ALLELIC VARIANTS IN THE FACTOR VII GENE

APPLICANT(S) FOR DO/EO/US

Jordi FONTCUBERTA BOJ et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

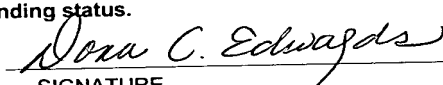
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. ED 499386447 US
23. ☒ Other items or information:

Letter Re Priority

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
107522070		PCT/ES2003/000379		932.1284	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	\$	\$300.00	
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$	\$200.00	
<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$	\$500.00	
TOTAL OF ABOVE CALCULATIONS =			\$	\$1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$	0
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	\$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =	0	x \$50.00	\$	\$0.00
Independent claims	2 - 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/>			+ \$360.00	\$	\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$	\$1,130.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$565.00
SUBTOTAL =				\$	\$565.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
TOTAL NATIONAL FEE =				\$	\$565.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
TOTAL FEES ENCLOSED =				\$	\$565.00
Amount to be refunded:				\$	
Amount to be charged:				\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$565.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____ c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0518</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:			 SIGNATURE		
STEINBERG & RASKIN, P.C.			Dona C. Edwards		
1140 Avenue of the Americas			NAME		
15th Floor			42,507		
New York, N.Y. 10036-5803			REGISTRATION NUMBER		

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Jordi FONTCUBERTA BOJ et al.**

Docket No.

10/522070
932.1284

Application No. N/Y/K	Filing Date January 21, 2005	Examiner N/Y/K	Customer No. 21831	Group Art Unit N/Y/K
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Invention: **NEW ALLELIC VARIANTS IN THE FACTOR VII GENE**

I hereby certify that the following correspondence:

New PCT National Phase Application with associated documents and fees

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 21, 2005*(Date)***Annette McPherson***(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)***ED 499386447 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

SPANISH PATENTS AND TRADEMARKS OFFICE

[Stamp bearing: Files-Association of Industrial Property Agents]

APPLICATION NUMBER
P200201749

INSTANCE OF APPLICATION FOR:

☒ PATENT OF INVENTION ☐ UTILITY MODEL

DATE AND HOUR OF FILING AT THE O.E.P.M.
25 July 2002

- (2)
- ☐ ADDITIONAL APPLICATION
☐ DIVISIONAL APPLICATION
☐ CHANGE OF MODALITY
☐ TRANSFORMATION
☐ EUROPEAN APPLICATION

(3) PRINCIPAL OR ORIGINAL FILE
MODALITY
APPLICATION NUMBER
FILING DATE
/ /
MODALITY
APPLICATION NUMBER
FILING DATE
/ /

DATE AND HOUR OF FILING IN A PLACE
OTHER THAN THE O.E.P.M.

(4) PLACE OF FILING CODE
MADRID 28

(5) APPLICANT (S) SURNAME OR JURIDICAL DENOMINATION

NAME

NATIONALITY

COUNTRY
CODE

D.N.I.

FUNDACIO PRIVADA I INSTITUT DE RECERCA DE
L'HOSPITAL DE LA SANTA CREU I SANT PAU

SPANISH

ES

G60136934

(5) PARTICULARS OF THE FIRST APPLICANT

ADDRESS: C. de Sant Antoni Maria Claret, 167

TOWN: BARCELONA

PROVINCE: BARCELONA

COUNTRY OF RESIDENCE: SPAIN

NATIONALITY: Spanish

PHONE | |

POST CODE 08025

COUNTRY CODE |ES|

NATION CODE |ES|

(7) INVENTOR (S)

(8) ☐ THE APPLICANT IS THE INVENTOR

(9) WAY OF OBTENTION OF RIGHTS

☒ THE APPLICANT IS NOT THE INVENTOR OR SOLE INVENTOR

☒ EMPLOYER (S) ☐ CONTRACT ☐ SUCCESSION

SURNAMES

NAME

NATIONALITY

CODE

Fontcuberta Boj
Soria Fernández

Jordi
José Manuel

SPANISH
SPANISH

ES
ES

(9) TITLE OF THE INVENTION

NEW ALLELIC VARIANTS IN THE FACTOR VII GENE

(11) MICROBIOLOGICAL DEPOSIT

☐ YES ☐ NO

(12) OFFICIAL EXHIBITIONS: PLACE PLACE

DATE

(13) PRIORITY DECLARATIONS

COUNTRY OF ORIGIN

CODE

NUMBER

DATE

(14) THE APPLICANTS REQUEST THE EXEMPTION OF THE PAYMENT OF TAXES PROVIDED IN ART. 162 L.P.

☐ YES ☐ NO

(15) REPRESENTATIVE

SURNAMES:

PONTI SALES

NAME

CODE

Adelaida

|388/3|

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Barcelona

PROVINCE

POSTAL

CODE

Barcelona

|08007|

(16) LIST OF ANNEXED DOCUMENTS

- ☒ SPECIFICATION Nº OF PAGES 18
☒ CLAIMS Nº OF PAGES 9
☐ DRAWINGS Nº OF PAGES
☒ SEQUENCE LISTING 11
☒ ABSTRACT
☐ PRIORITY DOCUMENT
☐ TRANSLATION OF PRIORITY DOCUMENT

- ☐ REPRESENTATION FORM
☐ PROOFS
☒ RECEIPT OF PAYMENT OF TAXES
☐ SHEET OF COMPLEMENTARY
INFORMATIONS
☒ OTHER Magnetic support

SIGNATURE OF THE OFFICIAL

Adelaida Ponti Sales

SIGNATURE OF THE APPLICANT OR
REPRESENTATIVE

Adelaida Ponti Sales Associate no. 320

NOTIFICATION OF PAYMENT OF THE GRANT FEES

To the Director of the Spanish Patents and Trademarks Office

informacion@oepm.es

www.oepm.es

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